



SECRETARY OF STATE

SECURITIES AND BUSINESS REGULATION

SUITE 802 - WEST TOWER
2 MARTIN LUTHER KING, JR. DRIVE, S.E.
ATLANTA, GEORGIA 30334
(404) 656-3920 * FAX (404) 657-8410
WWW.SOS.STATE.GA.US

KAREN C. HANDEL
SECRETARY OF STATE
COMMISSIONER OF
SECURITIES

ROBERT D. TERRY
DIVISION DIRECTOR
ASSISTANT COMMISSIONER
OF SECURITIES

NOTICE TO: DEALERS, LIMITED DEALERS, SALESPERSONS, LIMITED SALESPERSONS

The 2008 Renewal Program begins November 1, 2007.

FINRA MEMBER FIRMS:

FINRA Member renewals will be processed via **Web CRD**; therefore, applicable fees should be submitted to CRD (See Georgia Rule 590-4-2-.11) Please click on the link below for additional renewal information.

<http://www.finra.org/RegulatorySystems/CRD/RenewalProgram/index.htm>

PLEASE BE ADVISED THAT THE STATE OF GEORGIA IS A PARTICIPANT IN THE AUTOMATIC FAILURE TO RENEW PROGRAM. IF YOU FAIL TO RENEW, YOUR REGISTRATION(S) WILL TERMINATE ON **DECEMBER 31, 2007**.

NON-FINRA MEMBER FIRMS:

Non-FINRA Members should mail their renewal fees and form amendments directly to the Commissioner at the following address:

*State of Georgia
Office of the Secretary of State
Securities and Business Regulation Division
ATTN: Dealer/Salesperson Examiner
2 Martin Luther King, Jr. Drive, SE
Suite 802, West Tower
Atlanta, GA 30334*

*Please make checks payable to **Georgia Secretary of State**.*

If you have any questions, please call 404-656-3920 and ask to speak with a Dealer/Salesperson Securities Examiner.

PLEASE BE ADVISED THAT IF YOU FAIL TO RENEW, YOUR REGISTRATION(S) WILL TERMINATE ON DECEMBER 31, 2007.